



香港銀行學會
The Hong Kong Institute of Bankers

Membership / Training / Examination Application Form (Hong Kong)

for

Professional Banking Qualifications

- Certified Banker CB, CB (Stage II), CB (Stage I)
- ECF on AML/CFT (Core and Professional Level)
- ECF on RWM (Core and Professional Level)
- ECF on Cybersecurity (Core Level)
- ECF on CRM (Core and Professional Level)

Please read **“Important Notes for Application”** **BEFORE** completing this form

IMPORTANT NOTES FOR APPLICATION

This Application Form is designed to facilitate applicants to apply for HKIB membership, training and examination by submitting one single form. Applicants may choose to complete the respective sections as deemed appropriate.

A. Membership

1. All HKIB members are eligible to apply for HKIB professional qualification training and/or examinations. However, non-members can still enrol for ECF training and/or examination.
2. It is compulsory for all individuals to maintain a valid membership status with HKIB if the applicants want to be elected and maintain HKIB professional designations (e.g. CB, CB (Stage II), CB (Stage I), AAMLP, CAMLP, ARWP, CRWP, ACsP, ACRP, CCRP(CL) and CCRP(CPM)).
3. Individual Membership shall run from 1 January to 31 December in each calendar year unless otherwise stated. Members who fail to pay their subscription fees by 31 January of each calendar year will be treated as default members and the reinstatement policy will therefore be applied.

B. Training

1. Places will be allocated on 'first-come-first-serve' basis.
2. Participants who have settled payments will receive course confirmation by e-mail at least **5 working days** prior to the course commencement date.
3. If for whatever reason you do not receive the course confirmation 3 calendar days before the course commencement, please contact us for our further action.
4. Late training enrolment will be accepted after the stipulated application deadline up to **7 calendar days** before course commencement, to allow us to administer the application. A late entry fee of HKD200 (in addition to the training fee) will apply.
5. We reserve the right to reject an application at any time.
6. Participants may apply for reimbursement under the Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector (WAM Pilot Programme). Please visit www.wamtalent.org.hk for the eligible training programmes and the relevant details. Please note that the WAM Pilot Programme applies to classroom training only; virtual classroom and examination are excluded.



C. Examination

1. Candidates who are taking the current training can choose to sit for the current examination or any subsequent examination.
2. Applications must comply with the regulations published in the respective programme handbooks.
3. Late examination enrolment will be accepted after the stipulated application deadline up to **14 calendar days** before examination date, to allow us to administer the application. A late entry fee of HKD200 (in addition to the examination fee) will apply.

D. Application Period and Method

1. Please refer to the Training and Examination Schedule for application deadline.
2. HKIB reserves the right to postpone, modify and/or cancel the scheduled training and/or examinations.
3. All fees paid are non-refundable and non-transferable, except in cases where the training has been cancelled.
4. Applicants can complete the Application Form with copies of relevant documents and submit to HKIB by email, by post or in person.

Contact Us

Address : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong

Website: www.hkib.org

Telephone : (852) 2153 7800

Email : application@hkib.org

Application Form

Section A: Personal Particulars

Part 1: Personal Details	
Title: <input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Dr	HKIB Membership: <input type="checkbox"/> Yes _____ <input type="checkbox"/> No <i>(Please specify the Membership No.)</i>
Name in English: (as shown on identity document) (Surname) (Given Name)	Name in Chinese: (as shown on identity document)
HKID / Passport Number:	Mobile Phone No.:
Primary / Work Email Address ¹ :	Secondary Email Address (if any):
Part 2: Personal Details	
<<Applicable only to <u>NEW</u> applicants or <u>CURRENT</u> applicants who have to complete or update their personal information >>	
Residential Address:	Correspondence Address: <input type="checkbox"/> Residential <input type="checkbox"/> Office Please put "✓" in the appropriate box
Office Address:	
Name of Current Employer:	Office Telephone No.:
Position:	Department:
Division (for customised service): <input type="checkbox"/> Asset Management <input type="checkbox"/> Commercial / Corporate Banking <input type="checkbox"/> Compliance & Risk Management <input type="checkbox"/> Investment Banking <input type="checkbox"/> Operations & Support <input type="checkbox"/> Private Banking <input type="checkbox"/> Retail Banking <input type="checkbox"/> Treasury <input type="checkbox"/> Others: _____	Industry (for customised service): <input type="checkbox"/> Accounting / Audit <input type="checkbox"/> Banking <input type="checkbox"/> Commercial / Industrial <input type="checkbox"/> Education <input type="checkbox"/> Government / Regulator <input type="checkbox"/> Insurance <input type="checkbox"/> Legal Practice <input type="checkbox"/> Securities and other financial institutions <input type="checkbox"/> Others: _____
! Attention: Please state qualifications / work experience relevant to the programme entry requirement you are applying for, and (i) attach proof of qualifications OR work experience and (ii) a copy of your HKID card/ Passport for entry qualification assessment.	
Highest Academic Qualification Obtained:	University / Tertiary Institution:
	Awarded Year:
Total years of work experiences in Banking and Finance:	
Other Professional Qualifications:	Professional Bodies:
<input type="checkbox"/> Apply for Direct Entry to * CB (Stage II) or CB <i>(please delete whichever is not appropriate)</i>	
* For those practitioners with higher attainment of academic qualification or stronger banking experience, direct entry to CB (Stage II) or CB can be applied. Please refer to CB programme handbook for details.	

Note: ¹All HKIB Training Confirmation, Examination Attendance and related communication will be sent via email by using the **Primary / Work Email Address**.

For Office Use Only

Ack	Log	CRM	MO
T / E Confirm	T&E Confirm	AN	RE

Section B: Membership

I would like to apply for HKIB Individual Membership and understand that the annual membership period runs from 1 January to 31 December unless otherwise stated. Please check applicable box:

	Membership Type (for Hong Kong)	Annual Subscription (Jan - Dec)	Semi-Subscription (Jul - Dec)
<input type="checkbox"/>	Ordinary	HKD1,080	HKD540
<input type="checkbox"/>	Student #	HKD200 *	
Reinstatement for default member		HKD2,000	
One-off First Registration Fee		HKD200	
Membership Application Total (B):		HKD	HKD

* HKIB Student Membership is valid till 31 Dec of the graduation year.

HKIB Student Membership is indispensable for full-time post-secondary students. Student members are required to provide a copy of student card for application.

Section C: Training

Programme	Module Title	Training Code	Mode		Fee (HKD)
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> Tuition	<input type="checkbox"/> Tutorial (for AML only)	
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> Tuition	<input type="checkbox"/> Tutorial (for AML only)	
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> Tuition	<input type="checkbox"/> Tutorial (for AML only)	
Late Entry Fee (HKD200)					
<i>Note: please refer to the Training and Examination Schedule for details.</i>					Training Application Total (C)
					HKD

Section D: Examination

Programme	Module Title	Examination Code	Mode		Fee (HKD)
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> First Attempt	<input type="checkbox"/> Re-sit	
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> First Attempt	<input type="checkbox"/> Re-sit	
<input type="checkbox"/> CB <input type="checkbox"/> ECF			<input type="checkbox"/> First Attempt	<input type="checkbox"/> Re-sit	
Late Entry Fee (HKD200)					
<i>Note: please refer to the Training and Examination Schedule for details.</i>					Examination Application Total (D)
					HKD

Section E: Payment Methods

- Cash (Payable only in person at HKIB counter) Paid by Employer
- Faster Payment System (FPS) Account: account@hkib.org
- A cheque / e-Cheque made payable to "The Hong Kong Institute of Bankers" (cheque no. _____)
- For e-Cheque / FPS, please state your **full name** and **reference code** (e.g, training code and/or examination code) under 'remarks' (e-cheque) or 'Message to Payee/Recipient' (FPS) and email together with the completed enrolment form to application@hkib.org.*
- Credit card:
- Visa Mastercard

Card No:

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Expiry Date (MM/YY)

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Name of Cardholder :

Membership Application (B): HKD

Training Application (C): HKD

Examination Application (D): HKD

Signature:

Total (B + C + D): HKD

(as on credit card)

Section F: 2020 Marketing Campaign for Certified Banker (CB)

Ordinary Members who are pursuing CB qualification can enjoy 50% discount off on annual membership subscription. The full annual membership fee will be charged upfront, and upon successful completion of each programme intake, the 50% discount will be refunded.

Section G: Statement on Collection of Personal Data

- It is necessary for applicants to supply their personal data and to provide all the information requested in the application documents, as otherwise HKIB may be unable to process and consider their applications.
- The personal data provided in this form will be used for processing your application for membership, training and examination, statistical and marketing (including direct marketing) purposes. The data will be solely handled by HKIB staff but may be transferred to an authorised third party providing services to HKIB in relation to the above purposes and prescribed purposes as allowed by the law from time to time.
- When the processing and consideration of all the applications for a particular training have been completed: (a) the application papers of unsuccessful candidates will be destroyed (if you have indicated to receive our promotional materials in Paragraph 6 then your contact details and related papers would be retained for such purposes); and (b) the application papers of successful candidates will serve as part of the applicant's official student records and will be handled by HKIB staff or by staff of an authorised third party providing services to HKIB in relation to the stated purposes. In all such circumstances, please be assured that any personal information you supply will be kept strictly confidential.
- Applicants understand that they have the right to check whether HKIB holds personal data about them and that, if so, they have a right of access to their personal data. They can request HKIB to correct any inaccurate personal data and if they need to obtain a copy of their personal data or have it corrected, they can write to HKIB. They understand that HKIB is permitted by law to charge a reasonable fee for the processing of any data access request.
- Personal data provided on the application form will be used by HKIB for the purpose relating to application and admission. For details of the Personal Data Collection Statement, please refer to the website: <http://www.hkib.org>

Please tick if you **DO NOT WISH** to receive our latest updates and promotional materials through the communication channels as stated above, including discounts and offers from time to time.

Section H: Information Source

From which of the following sources did you find out about our Professional Banking Qualifications

- HKIB's website HR/Training Department Education Bureau / Government
 Newspaper/Magazine Colleagues/Classmates/Friends Information Session/Exhibition
 Labour Department Others, please specify _____

Section I: Acknowledgement and Declaration

- I declare that the information contained in my application is truthful and complete, and will be used for the purpose of administration and communication by The Hong Kong Institute of Bankers (HKIB). I agree to notify HKIB of any material changes to my responses to any of the questions in this application, including my contact details. I understand and agree that HKIB may investigate the statements I have made with respect to this application, and that I may be subject to disciplinary actions for any misrepresentation (whether fraudulent and otherwise) in this application.
- I understand that the fees paid are non-refundable and non-transferable, unless otherwise stated.
- I understand and agree to comply with all conditions, requirements, policies and procedures established by HKIB as may be amended from time to time.

For Membership Application:

- I understand that as a member of HKIB, I shall be bound by the prevailing rules and regulations of the Institute.

For Training Application:

- I declare that I have fulfilled the entry requirements of the training.
- I declare that I have read and agreed to comply with the following statement:
 - The training material (Study Guide and PPT Slides in both printed and electronic versions) and its content are copyright of HKIB - © 2020 The Hong Kong Institute of Bankers. All rights reserved.
 - No part of this publication may be reproduced, stored in a retrieval system/database, or transmitted in any form or by any means, e.g. electronic, mechanical, photocopying, recording or otherwise, without the prior permission of the copyright owner.

For FLEX Learning / Virtual Classroom Training Application:

- I declare that I have read and agreed to comply with the following statement:
 - Turning on the video function and showing my face during the virtual classroom training are needed for attendance requirement, otherwise I will not obtain any attendance or CPD certificate. This consent covers all forms of virtual classroom training, including but not limited to HKIB's Professional Qualification Programmes and IA CPD courses.
 - Recording of virtual training session is strictly prohibited. No individual may record by any means or broadcast any portion of the virtual training session without prior written consent of HKIB. Unauthorised recording (audio, video, still photography, etc.) of the virtual training session, without the express written consent of HKIB, is strictly prohibited.

For Examination Application:

- I confirm that I have read the relevant handbook, examination-related information published in the HKIB, and/or Examination Guidance Notes, and fully understand and accept the regulations.

By my signature below, I am submitting my application and have fully read, understood and accepted the terms and conditions stated above.

Signature

Date

Special Note:

Please check the following items before submitting to the Institute and keep a copy of all relevant documents for your own record.

	Membership Application	Training Application	Examination Application
Completed all applicable sections of this form	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Signed and dated the acknowledgement and declaration	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Enclosed a copy of your identity card / passport	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Enclosed relevant proof of qualification or work experience for entry qualification assessment	N/A	<input checked="" type="checkbox"/>	N/A
Payment or evidence of payment	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>